

# Global Kuranishi charts

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## Abstract

These are rough notes for talks at the Southern California Symplectothon (Nov 9–10, 2024) about global Kuranishi charts for Gromov–Witten moduli spaces, following [AMS21] for the genus 0 case and [HS24] for the higher genus case.

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## 1 Genus 0 case

Our objective is to understand the proof of the following statement.

**Theorem 1.1** ([AMS21]). Let  $(X, \omega)$  be a closed symplectic manifold,  $A \in H_2(X, \mathbb{Z})$  and  $n \geq 0$ . Given an  $\omega$ -tame almost complex structure  $J$ , the moduli space  $\overline{\mathcal{M}}_{0,n}(X, A; J)$  admits a well-defined equivalence class of oriented global Kuranishi charts having the correct virtual dimension. Moreover, given another  $\omega$ -tame almost complex structure  $J'$ , these global Kuranishi charts for  $\overline{\mathcal{M}}_{0,n}(X, A; J)$  and  $\overline{\mathcal{M}}_{0,n}(X, A; J')$  can be chosen to be oriented cobordant over  $\overline{\mathcal{M}}_{0,n} \times X^n$ .

We will follow [AMS21, §6]; see also the summary in [HS24, §2.1]. For simplicity, we will ignore marked points and focus on the case  $n = 0$  to illustrate the key ideas. The proof will take up a number of steps. Each auxiliary choice we make will be indicated by a bullet point.

## 1.1 Line bundle on target

Approximate  $\omega$  in  $C^\infty$  to get a symplectic form  $\Omega$  taming  $J$  with  $[\Omega] \in H^2(X, \mathbb{Q}) \subset H^2(X, \mathbb{R})$ . Multiply  $\Omega$  by a large integer to assume that  $[\Omega]$  lifts to a class in  $\gamma \in H^2(X, \mathbb{Z})$ . Let  $L_\Omega \rightarrow X$  be a  $C^\infty$  complex line bundle such that  $c_1(L_\Omega) = \gamma$ .

**Lemma 1.2.** There is a Hermitian metric  $\langle \cdot, \cdot \rangle$  and a compatible Hermitian connection  $\nabla$  on  $L_\Omega$  such that the curvature form of  $\nabla$  is given by  $-2\pi i \Omega$ .

*Proof.* Choose *any* Hermitian metric  $\langle \cdot, \cdot \rangle$  on  $L_\Omega$  and *any* compatible Hermitian connection  $\nabla'$  on  $L_\Omega$  and write the curvature as  $-2\pi i \Omega'$ . Since  $\gamma$  is a common integral lift of  $[\Omega]$  and  $[\Omega']$ , we can find a smooth (real) 1-form  $\beta$  such that  $\Omega' = \Omega + d\beta$ . The connection  $\nabla = \nabla' + 2\pi i \beta$  now is also Hermitian for  $\langle \cdot, \cdot \rangle$  and has curvature given by  $-2\pi i \Omega$ .  $\square$

- Fix the line bundle  $L_\Omega$ , a metric and a connection on it as in 1.2. Write  $d := [\Omega] \cdot A \geq 1$ .

## 1.2 Framed curves

Let  $u : \Sigma \rightarrow X$  be a  $J$ -holomorphic genus 0 stable map with  $u_*[\Sigma] = A$ .

The line bundle  $u^*L_\Omega$ , equipped with  $(u^*\nabla)^{0,1}$ , is a Hermitian holomorphic line bundle whose Chern connection has curvature form  $-2\pi i \cdot u^*\Omega$ . Since  $\Omega$  tames  $J$ , stability of  $u : \Sigma \rightarrow X$  implies that  $\int u^*\Omega \geq 0$  (resp.  $> 0$ ) on every irreducible (resp. unstable irreducible) component of  $\Sigma$ . Thus,  $u^*L_\Omega$  has degree  $\geq 0$  on each irreducible component of  $\Sigma$ .

**Lemma 1.3.** The line bundle  $u^*L_\Omega$  is basepoint free,  $h^1(\Sigma, u^*L_\Omega) = 0$  and  $h^0(\Sigma, u^*L_\Omega) = d + 1$ . If  $F = (f_0, \dots, f_d)$  is a  $\mathbb{C}$ -basis of  $H^0(\Sigma, u^*L_\Omega)$ , then  $\phi_F := [f_0 : \dots : f_d] : \Sigma \rightarrow \mathbb{P}^d$  is a stable map of degree  $d$  which is **non-degenerate**, i.e., not contained in any hyperplane.

*Proof.* On a nodal curve  $\Sigma$  of genus 0, there is a **unique holomorphic line bundle up to isomorphism for each multi-degree**. Using this, the result is clear for  $\Sigma = \mathbb{P}^1$  since we must have  $u^*L_\Omega \simeq \mathcal{O}_{\mathbb{P}^1}(d)$ . Use induction on the number of irreducible components to complete the proof.  $\square$

Note that there is a  $\mathrm{GL}_{d+1}(\mathbb{C})$  worth of choices for  $F$ . **Later, when we thicken the  $\bar{\partial}$ -equation, we will need to break this  $\mathrm{GL}_{d+1}(\mathbb{C})$  symmetry and reduce to only a  $U(d+1)$  symmetry.** For this purpose, it will be useful to distinguish a subclass of ‘unitary’  $F$  among all possible  $F$ .

**Lemma 1.4.** In 1.3, the  $(d+1) \times (d+1)$  Hermitian matrix

$$\mathcal{H}(\Sigma, u, F) := \left( \int_{\Sigma} \langle f_i, f_j \rangle u^*\Omega \right)_{0 \leq i, j \leq d} \quad (1.2.1)$$

is positive definite.

*Proof.* Indeed, for  $0 \neq \mathbf{v} = (v_0, \dots, v_d)^\top \in \mathbb{C}^{d+1}$ , we have

$$\mathbf{v}^\dagger \mathcal{H}(\Sigma, u, F) \mathbf{v} = \int_{\Sigma} \|v_0 f_0 + \dots + v_d f_d\|^2 u^*\Omega > 0,$$

by unique continuation for  $0 \neq v_0 f_0 + \dots + v_d f_d \in H^0(\Sigma, u^*L_\Omega)$  and the  $\Omega$ -tameness of  $J$ .  $\square$

Relaxing  $J$ -holomorphicity of  $u$  in the above discussion leads to the following notion.

**Definition 1.5.** A **'framed genus 0 curve'** in  $X$  of class  $A$  is a tuple  $(\Sigma, u, F)$  where

- (a)  $\Sigma$  is a nodal genus 0 curve,
- (b)  $u : \Sigma \rightarrow X$  is a smooth map with  $u_*[\Sigma] = A$  which is **stable**, i.e.,  $\int u^*\Omega \geq 0$  (resp.  $> 0$ ) on each irreducible (resp. unstable irreducible) component of the curve  $\Sigma$ ,
- (c)  $F = (f_0, \dots, f_d)$  is a **'framing'**, i.e., complex basis of the space  $H^0(\Sigma, u^*L_\Omega)$  of holomorphic global sections of  $u^*L_\Omega$ , equipped with the holomorphic structure given by  $(u^*\nabla)^{0,1}$ , such that the  $(d+1) \times (d+1)$  Hermitian matrix  $\mathcal{H}(\Sigma, u, F)$  from (1.2.1) is positive definite.

An **'equivalence'** of framed genus 0 curves  $(\Sigma, u, F)$  and  $(\Sigma', u', F')$  in  $X$  is a biholomorphic map  $\varphi : \Sigma \rightarrow \Sigma'$  such that  $u' \circ \varphi = u$  and  $\varphi^*F' = F$ . We call a framed genus 0 curve **'unitary'** if  $\mathcal{H}(\Sigma, u, F)$  is the identity matrix.

To make sense of 1.5(c), note that 1.3 used only the fact that  $u^*L_\Omega$  has degree  $\geq 0$  on each irreducible component. Thus, 1.5(b) guarantees that for any framed curve  $(\Sigma, u, F)$ , the line bundle  $u^*L_\Omega$  is automatically basepoint free and we have  $h^0(\Sigma, u^*L_\Omega) = d+1$  and  $h^1(\Sigma, u^*L_\Omega) = 0$ .

**Fact.** The open sub-orbifold  $\overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d) \subset \overline{\mathcal{M}}_{0,0}(\mathbb{P}^d, d)$ , consisting of **non-degenerate stable maps**, is in fact a **smooth variety** of the expected dimension. It carries a **universal family**

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\text{ev}} & \mathbb{P}^d \\ \downarrow \pi & & \\ \overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d) & & \end{array} \quad (1.2.2)$$

whose total space  $\mathcal{C}$  is also a smooth variety.

As in 1.3, any framed genus 0 curve  $(\Sigma, u, F)$  gives rise to a non-degenerate degree  $d$  map

$$\phi_F = [f_0 : \dots : f_d] : \Sigma \rightarrow \mathbb{P}^d$$

Thus,  $\phi_F$  induces an identification of  $\iota_F : \Sigma \hookrightarrow \mathcal{C}$  with a fibre of  $\pi$  in (1.2.2).

### 1.3 Achieving transversality

- Fix a **relatively ample line bundle**  $\mathcal{L}$  on  $\mathcal{C} \rightarrow \overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d)$ , equipped with a Hermitian metric so that the natural  $U(d+1)$  action on  $\mathcal{C}$  lifts to a unitary action on the line bundle  $\mathcal{L}$ .
- Fix a  $\mathbb{C}$ -linear connection  $\nabla^{\mathcal{C}}$  on  $T^{*0,1}\mathcal{C}$ , which is invariant under the  $U(d+1)$  action.
- Fix a  $\mathbb{C}$ -linear connection  $\nabla^X$  on  $TX$  equipped with  $J$ .
- Fix a sufficiently large positive integer  **$k \gg 1$** .

**Remark 1.6.** We may take  $\mathcal{L} = \omega_\pi \otimes \text{ev}^*(\mathcal{O}_{\mathbb{P}^d}(3))$  as in [FP97, §2.3] with a  $U(d+1)$ -invariant metric obtained by averaging, where  $\omega_\pi$  is the **relative dualizing line bundle** of  $\pi : \mathcal{C} \rightarrow \overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d)$ .

**Definition 1.7.** We define  $\mathcal{K} = (\mathcal{T}, \mathcal{E}, G, \mathfrak{s}, \psi)$  as follows.

(A) The **‘thickening’**  $\mathcal{T}$  is the moduli space of tuples  $(\Sigma, u, F, \eta)$  where

- (i)  $(\Sigma, u, F)$  is a framed genus 0 curve in  $X$  of class  $A$  and
- (ii)  $\eta \in E_k(\Sigma, u, F) := H^0(\Sigma, u^*TX \otimes \iota_F^*(T^{*0,1}\mathcal{C} \otimes \mathcal{L}^{\otimes k})) \otimes \overline{H^0(\Sigma, \iota_F^*\mathcal{L}^{\otimes k})}$ ,

and these satisfy the **thickened  $\bar{\partial}$ -equation**

$$\bar{\partial}_J u + \langle \eta \rangle \circ d\tilde{\iota}_F = 0. \quad (1.3.1)$$

Here, the holomorphic structures on  $\iota_F^*(T^{*0,1}\mathcal{C})$  and  $u^*TX$  come from the connections  $\nabla^{\mathcal{C}}$  and  $\nabla^X$  fixed above,  $\tilde{\iota}_F$  is the pullback of  $\iota_F : \Sigma \hookrightarrow \mathcal{C}$  to the normalization  $\tilde{\Sigma} \rightarrow \Sigma$  and the linear map  $\langle \cdot \rangle$  is induced by the Hermitian inner product on  $\mathcal{L}^{\otimes k}$ .

We have a natural projection  $\mathcal{T} \rightarrow \overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d)$ , given by  $(\Sigma, u, F, \eta) \mapsto [\phi_F : \Sigma \rightarrow \mathbb{P}^d]$ .

(B) The **‘obstruction bundle’**  $\mathcal{E} \rightarrow \mathcal{T}$  is the vector bundle<sup>1</sup> whose fibre over  $(\Sigma, u, F, \eta) \in \mathcal{T}$  is

$$E_k(\Sigma, u, F) \oplus \mathcal{H}_{d+1},$$

with  $\mathcal{H}_{d+1}$  being the (real) vector space of  $(d+1) \times (d+1)$  Hermitian matrices.

(C) The **‘obstruction section’**  $\mathfrak{s}$  is the section of  $\mathcal{E} \rightarrow \mathcal{T}$  defined by

$$(\Sigma, u, F, \eta) \mapsto (\eta, \log \mathcal{H}(\Sigma, u, F)),$$

where  $\log$  denotes the inverse of the exponentiation map from  $(d+1) \times (d+1)$  Hermitian matrices to positive definite  $(d+1) \times (d+1)$  Hermitian matrices.

(D) The **‘symmetry group’**  $G = U(d+1)$  has a natural action on  $\mathcal{T}$ , which lifts to  $\mathcal{E}$  so that  $\mathfrak{s}$  becomes a  $G$ -equivariant section.

(E) The **‘footprint map’**

$$\psi : \mathfrak{s}^{-1}(0)/G \rightarrow \overline{\mathcal{M}}_{0,0}(X, A; J)$$

is induced by forgetting the framing.

**Claim.** In 1.7, the map  $\psi$  is a homeomorphism

*Proof.* Clearly,  $\mathfrak{s}^{-1}(0)$  consists of framed curves  $(\Sigma, u, F)$  such that  $\bar{\partial}_J u = 0$  and  $\mathcal{H}(\Sigma, u, F) = \text{id}$ . Also, for  $A \in U(d+1)$ , we have  $\mathcal{H}(\Sigma, u, FA) = A^\dagger \cdot \mathcal{H}(\Sigma, u, F) \cdot A$ . From this and 1.4, we conclude that  $\psi$  is a bijection. We conclude using the standard fact: a continuous bijection from a compact space to a Hausdorff space is automatically a homeomorphism.  $\square$

<sup>1</sup>We haven’t yet explained why this fibrewise description fits into a vector bundle.

**Remark 1.8.** The compact zero locus  $\mathfrak{s}^{-1}(0)$  is completely independent (!) of all the auxiliary choices except for  $L_\Omega$  with its Hermitian metric and compatible connection.

**Fact** (Hörmander peak sections trick, [AMS21, 6.24 and 6.26]). Let  $(\Sigma, u, F)$  be a framed curve. Define a sequence of finite dimensional vector spaces, indexed by  $k \geq 1$ , as follows:

$$W_k := \text{image} \left( E_k(\Sigma, u, F) \xrightarrow{\langle \cdot \rangle \circ d\tilde{i}_F} \Omega^{0,1}(\tilde{\Sigma}, \tilde{u}^*TX) \right).$$

Then, the subspaces  $W_k$  provide an  **$L^2$  exhaustion** of  $\Omega^{0,1}(\tilde{\Sigma}, \tilde{u}^*TX)$  as  $k \rightarrow \infty$ . More precisely, for any  $0 \neq \eta \in \Omega^{0,1}(\tilde{\Sigma}, \tilde{u}^*TX)$ , we have the following:  $\forall k \gg 1, \exists$  holomorphic sections

$$s_k \in H^0(\Sigma, u^*TX \otimes \iota_F^*(T^{*0,1}\mathcal{C} \otimes \mathcal{L}^{\otimes k})), \quad t_k \in H^0(\Sigma, \iota_F^*\mathcal{L}^{\otimes k})$$

such that the section  $\langle s_k, t_k \rangle \circ d\tilde{i}_F \in \Omega^{0,1}(\tilde{\Sigma}, \tilde{u}^*TX)$  has a non-trivial  $L^2$  pairing with  $\eta$ .

**Corollary 1.9** (Transversality).  $\exists k \gg 1$  such that for any  $(\Sigma, u, F, 0) \in \mathfrak{s}^{-1}(0)$ , the linearization

$$\Omega^0(\Sigma, u^*TX) \oplus E_k(\Sigma, u, F) \rightarrow \Omega^{0,1}(\tilde{\Sigma}, \tilde{u}^*TX) \quad (1.3.2)$$

of the thickened  $\bar{\partial}$ -equation (1.3.1) at  $(u, 0)$  is surjective and we have

$$H^1(\Sigma, u^*TX \otimes \iota_F^*(T^{*0,1}\mathcal{C} \otimes \mathcal{L}^{\otimes k})) = 0 \quad \text{and} \quad H^1(\Sigma, \iota_F^*\mathcal{L}^{\otimes k}) = 0. \quad (1.3.3)$$

In particular, in a  $G$ -invariant neighborhood of  $\mathfrak{s}^{-1}(0)$ , the projection map  $\mathcal{T} \rightarrow \overline{\mathcal{M}}_{0,0}^*(\mathbb{P}^d, d)$  is a **topological submersion of the expected (relative) dimension** and  $\mathcal{E} \rightarrow \mathcal{T}$  defines a **complex vector bundle of the expected rank**.

*Proof.* Given  $(\Sigma, u, F, 0) \in \mathfrak{s}^{-1}(0)$ , we can find  $k \gg 1$  so that (1.3.2) is surjective (by the Hörmander peak sections trick) and (1.3.3) holds (by Serre vanishing [Har77, III.5.2]). Since  $\mathfrak{s}^{-1}(0)$  is compact, we can find  $k$  which works uniformly; see [HS24, 4.19] for a closely related argument.  $\square$

This completes the construction of a **global Kuranishi chart for  $\overline{\mathcal{M}}_{0,0}(X, A; J)$  associated to the auxiliary data  $(L_\Omega, \mathcal{L}, \nabla^{\mathcal{C}}, \nabla^X, k)$ .**

## 1.4 Uniqueness

Let  $(L_{\Omega_i}, \mathcal{L}_i, \nabla_i^{\mathcal{C}}, \nabla_i^X, k_i)$  be two choices of auxiliary data for  $i = 0, 1$ .

Going through the above construction, we get integers  $d_i := [\Omega_i] \cdot A \geq 1$ , finite dimensional vector spaces  $E_{k_i}(\Sigma, u, F_i)$  and global Kuranishi charts  $\mathcal{K}_i = (\mathcal{T}_i, \mathcal{E}_i, G_i, \mathfrak{s}_i, \psi_i)$  for  $i = 0, 1$ .

We will show that  $\mathcal{K}_0$  and  $\mathcal{K}_1$  are equivalent by exhibiting a third global Kuranishi chart  $\mathcal{K}_{01}$  which, roughly speaking, ‘interpolates’ between them. The definition of  $\mathcal{K}_{01}$  imitates the usual notion of ‘overlap charts’ in Kuranishi/implicit atlases.

**Definition 1.10.** We define  $\mathcal{K}_{01} = (\mathcal{T}_{01}, \mathcal{E}_{01}, G_{01}, \mathfrak{s}_{01}, \psi_{01})$  as follows.

(A) The ‘double thickening’  $\mathcal{T}_{01}$  is the moduli space of tuples  $(\Sigma, u, F_0, F_1, \eta_0, \eta_1)$  where

- (i)  $(\Sigma, u, F_i)$  is a framed genus 0 curve in  $X$  of class  $A$  with respect to  $L_{\Omega_i} \rightarrow X$ ,
- (ii)  $\eta_i \in E_{k_i}(\Sigma, u, F_i)$

for  $i = 0, 1$  and these satisfy the doubly-thickened  $\bar{\partial}$ -equation

$$\bar{\partial}_J u + \sum_{i=0,1} \langle \eta_i \rangle \circ d\tilde{F}_i = 0. \quad (1.4.1)$$

(B)  $\mathcal{E}_{01} = \mathcal{E}_0 \oplus \mathcal{E}_1 \rightarrow \mathcal{T}_{01}$  is the vector bundle with fibre over  $(\Sigma, u, F_0, F_1, \eta_0, \eta_1) \in \mathcal{T}_{01}$  given by

$$\bigoplus_{i=0,1} E_{k_i}(\Sigma, u, F_i) \oplus \mathcal{H}_{d_i+1}.$$

(C) The section  $\mathfrak{s}_{01} = (\mathfrak{s}_i)_{i=0,1}$  of  $\mathcal{E}_{01} \rightarrow \mathcal{T}_{01}$  is defined by

$$\mathfrak{s}_i : (\Sigma, u, F_0, F_1, \eta_0, \eta_1) \mapsto (\eta_i, \log \mathcal{H}(\Sigma, u, F_i)).$$

(D) The group  $G_{01} = G_0 \times G_1$  is defined by  $G_i = U(d_i + 1)$  for  $i = 0, 1$ .

(E) The map

$$\psi_{01} : \mathfrak{s}_{01}^{-1}(0)/G_{01} \rightarrow \overline{\mathcal{M}}_{0,0}(X, A; J)$$

is induced by forgetting the framings  $F_i$  for  $i = 0, 1$ .

As before,  $\psi_{01}$  is a homeomorphism and, in a  $G_{01}$ -invariant neighborhood of  $\mathfrak{s}_{01}^{-1}(0)$ , the space  $\mathcal{T}_{01}$  is a manifold of the expected dimension and  $\mathcal{E}_{01} \rightarrow \mathcal{T}_{01}$  is a vector bundle of the expected rank.

**Claim.** The global Kuranishi chart  $\mathcal{K}_{01}$  is equivalent to  $\mathcal{K}_i$  for  $i = 0, 1$ .

*Proof.* We suppress the ‘germ equivalence’ move for global Kuranishi charts from the discussion since we work in a small neighborhood of the zero locus. Observe that  $\mathcal{P}_0 = \mathfrak{s}_1^{-1}(0)$  is cut-out transversally near  $\mathfrak{s}_{01}^{-1}(0)$ . Moreover, the natural projection  $\pi_0 : \mathcal{P}_0 \rightarrow \mathcal{T}_0$  has the structure of a  $G_0$ -equivariant principal  $G_1$ -bundle.

Consider the global Kuranishi chart  $\mathcal{K}' = (\mathcal{P}_0, \pi_0^* \mathcal{E}_0, \pi_0^* \mathfrak{s}_0, G_{01}, \psi_{01})$ .

- (I) Applying ‘group enlargement’ to  $\mathcal{K}_0$  (with the group  $G_1$ ) yields  $\mathcal{K}'$ .
- (II) Applying ‘stabilization’ to  $\mathcal{K}'$  (with the vector bundle  $\mathcal{E}_1|_{\mathcal{P}_0}$ ) yields  $\mathcal{K}_{01}$ .

This shows that  $\mathcal{K}_0$  is equivalent to  $\mathcal{K}_{01}$ . By symmetry,  $\mathcal{K}_1$  is also equivalent to  $\mathcal{K}_{01}$ .  $\square$

**Remark 1.11.** We omit the very similar proof for the cobordism statement in 1.1.

## 2 Higher genus case

The following statement is an extension of 1.1 to all genera.

**Theorem 2.1** ([HS24], [AMS24]). Let  $(X, \omega)$  be a closed symplectic manifold,  $A \in H_2(X, \mathbb{Z})$  and  $g, n \geq 0$ . Given an  $\omega$ -tame almost complex structure  $J$ , the moduli space  $\overline{\mathcal{M}}_{g,n}(X, A; J)$  admits a well-defined equivalence class of oriented global Kuranishi charts of the correct virtual dimension. Moreover, given another  $\omega$ -tame almost complex structure  $J'$ , these global Kuranishi charts for  $\overline{\mathcal{M}}_{g,n}(X, A; J)$  and  $\overline{\mathcal{M}}_{g,n}(X, A; J')$  can be chosen to be oriented cobordant over  $\overline{\mathcal{M}}_{g,n} \times X^n$ .

We will follow [HS24]; see [AMS24] for an alternate construction. Again, for simplicity, we focus on the case  $n = 0$  where we have no marked points. Given that we have already seen the proof of 1.1 in some detail, rather than giving a detailed proof of 2.1, we will only explain the key issues encountered in going to higher genera and how we overcome them.

### 2.1 Key issues

The following is the main source obstacle to adapting the proof of 1.1 to get 2.1.

**Fact.** For a nodal curve  $\Sigma$  of genus  $g$ , isomorphism classes of topologically trivial holomorphic line bundles on  $\Sigma$  form a complex  $g$ -dimensional Lie group  $\text{Pic}^0(\Sigma)$ , whose tangent space at the identity is  $H^1(\Sigma, \mathcal{O}_\Sigma)$ . In particular, when  $g > 0$ , a holomorphic line bundle on  $\Sigma$  is not determined up to isomorphism by its multi-degree.

To elaborate further, consider a  $J$ -holomorphic stable map  $u : \Sigma \rightarrow X$  of genus  $g > 0$ .

- (i) As  $u : \Sigma \rightarrow X$  varies, the dimension of  $H^0(\Sigma, u^*L_\Omega)$  may jump. E.g., this happens when  $u : \Sigma \rightarrow X$  has a positive genus ghost component.

*Remedy.* Replace  $u^*L_\Omega$  by another natural choice which is (very) ample and has vanishing  $H^1$  on  $\Sigma$ . The standard replacement is  $\omega_\Sigma \otimes (u^*L_\Omega)^{\otimes 3}$ , or a sufficiently high tensor power of it, where  $\omega_\Sigma$  is the dualizing line bundle of  $\Sigma$ ; see [FP97, §2.3] or [Sie98].  $\square$

- (ii) ‘Framing’  $u : \Sigma \rightarrow X$  using a basis  $F = (f_0, \dots, f_N)$  of  $H^0(\Sigma, \omega_\Sigma \otimes (u^*L_\Omega)^{\otimes 3})$  produces a non-degenerate stable map  $\phi_F = [f_0 : \dots : f_N] : \Sigma \rightarrow \mathbb{P}^N$  such that we have

$$\phi_F^*(\mathcal{O}_{\mathbb{P}^N}(1)) \simeq \omega_\Sigma \otimes (u^*L_\Omega)^{\otimes 3} \quad (2.1.1)$$

as holomorphic line bundles. Deforming  $\phi_F$  among non-degenerate stable maps to  $\mathbb{P}^N$  will, in general, disturb (2.1.1). Thus, the space of ‘framed genus  $g$  curves’ in  $X$  may not project submersively onto the space of non-degenerate stable maps to  $\mathbb{P}^N$ .

*Remedy.* Enlarge the notion of ‘framed curve’ to allow maps  $\phi_F : \Sigma \rightarrow \mathbb{P}^N$  for which we only have a topological line bundle isomorphism (2.1.1). This restores submersivity but, to compensate, we must record the difference  $[\phi_F^*(\mathcal{O}_{\mathbb{P}^N}(1))] - [\omega_\Sigma \otimes (u^*L_\Omega)^{\otimes 3}] \in \text{Pic}^0(\Sigma)$  as a part of the obstruction section.  $\square$

## 2.2 Picard groups

We follow the exposition in [HS24, Appendix A].

**Definition 2.2.** We define (relative) **Picard groups** as follows.

- (a) For a nodal curve  $\Sigma$ , define  $\text{Pic}(\Sigma)$  to be the group of (isomorphism classes of) holomorphic line bundles on  $\Sigma$  under  $\otimes$ . Let  $\text{Pic}^0(\Sigma)$  be its subgroup of topologically trivial line bundles.
- (b) For a family  $\pi : C \rightarrow S$  of nodal curves, define  $\text{Pic}(C/S)$  to be the space of pairs  $(s, [\mathcal{L}_s])$ , where  $s \in S$  and  $[\mathcal{L}_s] \in \text{Pic}(C_s)$ . Let  $\text{Pic}^0(C/S)$  be its subspace where  $[\mathcal{L}_s] \in \text{Pic}^0(C_s)$ .

### 2.2.1 Single curve

Fix a nodal curve  $\Sigma$  of genus  $g$ . The **exponential short exact sequence** on  $\Sigma$  is:

$$0 \rightarrow \mathbb{Z} \xrightarrow{2\pi i} \mathcal{O}_\Sigma \xrightarrow{\text{exp}} \mathcal{O}_\Sigma^\times \rightarrow 0. \quad (2.2.1)$$

Since  $\Sigma$  is connected, applying  $H^0$  to (2.2.1) preserves exactness. Thus, the long exact sequence in cohomology gives the following exact sequence:

$$0 \rightarrow H^1(\Sigma, \mathbb{Z}) \xrightarrow{2\pi i} H^1(\Sigma, \mathcal{O}_\Sigma) \xrightarrow{\text{exp}} \text{Pic}(\Sigma) \xrightarrow{c_1} H^2(\Sigma, \mathbb{Z}) \rightarrow 0, \quad (2.2.2)$$

using the identification  $\text{Pic}(\Sigma) \simeq H^1(\Sigma, \mathcal{O}_\Sigma^\times)$  coming from the Čech description of sheaf cohomology and the vanishing of  $H^2(\Sigma, \mathcal{O}_\Sigma)$  coming from  $\Sigma$  being a 1-dimensional scheme. Thus, we have

$$\text{coker} \left( H^1(\Sigma, \mathbb{Z}) \xrightarrow{2\pi i} H^1(\Sigma, \mathcal{O}_\Sigma) \right) \xrightarrow[\simeq]{\text{exp}} \text{Pic}^0(\Sigma) := \ker \left( \text{Pic}(\Sigma) \xrightarrow{c_1} H^2(\Sigma, \mathbb{Z}) \right). \quad (2.2.3)$$

**Fact.** The group  $\text{Pic}^0(\Sigma)$  is naturally a complex  $g$ -dimensional Lie group, with tangent space at the identity being  $H^1(\Sigma, \mathcal{O}_\Sigma)$ . This follows from the following more precise statement.

- (i) If  $\Sigma$  is smooth, then  $H^1(\Sigma, \mathbb{Z}) \xrightarrow{2\pi i} H^1(\Sigma, \mathcal{O}_\Sigma)$  is isomorphic to the inclusion of a discrete lattice of rank  $2g$  in the vector space  $\mathbb{C}^g$ . Thus,  $\text{Pic}^0(\Sigma)$  is a complex  $g$ -dimensional torus.
- (ii) In general, let  $\Gamma = (V, E)$  be the **dual graph** of  $\Sigma$ . The vertices  $v \in V$  correspond to the connected components  $\tilde{\Sigma}_v$  of the normalization  $\tilde{\Sigma} \rightarrow \Sigma$ . The edges  $e \in E$  correspond to the unordered pairs of points in  $\tilde{\Sigma}$  that get identified to give the nodes  $q_e \in \Sigma$ .

We then have a natural short exact sequence<sup>2</sup>

$$0 \rightarrow H^1(|\Gamma|, \mathbb{C}^\times) \rightarrow \text{Pic}^0(\Sigma) \rightarrow \prod_{v \in V} \text{Pic}^0(\tilde{\Sigma}_v) \rightarrow 0,$$

where  $|\Gamma|$  is the geometric realization of  $\Gamma$  viewed as a 1-dimensional simplicial complex.

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<sup>2</sup>Its linearization is  $0 \rightarrow H^1(|\Gamma|, \mathbb{C}) \rightarrow H^1(\Sigma, \mathcal{O}_\Sigma) \rightarrow \bigoplus_{v \in V} H^1(\tilde{\Sigma}_v, \mathcal{O}_{\tilde{\Sigma}_v}) \rightarrow 0$ .



### 2.2.2 Family of curves

Let  $\pi : C \rightarrow S$  be a holomorphic family of nodal curves of genus  $g$ .

**Fact** (Consequence of [Har77, III.12.11]). There is a holomorphic rank  $g$  vector bundle

$$\mathbb{H}_{C/S}^* := R^1 \pi_* \mathcal{O}_C$$

on  $S$ , which is compatible with pulling back families. Its fibre over  $s \in S$  is the  $g$ -dimensional complex vector space  $H^1(C_s, \mathcal{O}_{C_s})$ .

**Fact** (Consequence of [HS24, A.7 and A.9]). The family version

$$\exp : \mathbb{H}_{C/S}^* \rightarrow \text{Pic}^0(C/S)$$

of the exponential map (2.2.3) is biholomorphic in a neighborhood of the zero section.

**Remark 2.3.** The full (relative) Picard group  $\text{Pic}(C/S) \rightarrow S$  is badly behaved: it is **non-separated** in general. Specifically, the image of the zero section  $S \rightarrow \text{Pic}(C/S)$ ,  $s \mapsto (s, [\mathcal{O}_{C_s}])$  may not be closed! Luckily, this pathology goes away when we restrict to  $\text{Pic}^0(C/S) \rightarrow S$ .

### 2.3 Construction

To define a global Kuranishi chart for  $\overline{\mathcal{M}}_{g,0}(X, A; J)$ , make the following auxiliary choices.

- Choose a line bundle  $L_\Omega$  with metric and connection as in 1.2.

Write  $d := [\Omega] \cdot A \geq 1$ . Write  $\mathfrak{L}_u := \omega_\Sigma \otimes (u^* L_\Omega)^{\otimes 3}$  for any stable map  $u : \Sigma \rightarrow X$  of genus  $g$  in class  $A$ , with the holomorphic structure induced by the connection pulled back from  $L_\Omega$ .

- Choose a sufficiently large integer  $p \gg 1$  so that for all stable maps  $u : \Sigma \rightarrow X$  of genus  $g$  in class  $A$ , the line bundle  $\mathfrak{L}_u^{\otimes p}$  is very ample and has vanishing  $H^1$ .

Write  $m := p(2g - 2 + 3d)$ ,  $N := m - g$ ,  $\mathcal{G} := \text{PGL}_{N+1}(\mathbb{C})$  and  $\mathcal{G} := \text{PU}(N+1)$ . For a stable map  $u : \Sigma \rightarrow X$  as above, note that we have  $m = \deg(\mathfrak{L}_u^{\otimes p})$  and  $N+1 = h^0(\Sigma, \mathfrak{L}_u^{\otimes p})$ .

Define a **'framed genus  $g$  curve'** to be a tuple  $(\Sigma, u, \mathcal{L}, F)$ , where  $\Sigma$  is a nodal genus  $g$  curve,  $u : \Sigma \rightarrow X$  is a stable map as above,  $\mathcal{L} \rightarrow \Sigma$  is a multi-degree 0 holomorphic line bundle with  $H^1(\Sigma, \mathfrak{L}_u^{\otimes p} \otimes \mathcal{L}) = 0$  and  $F = (f_0, \dots, f_N)$  is a complex basis of  $H^0(\Sigma, \mathfrak{L}_u^{\otimes p} \otimes \mathcal{L})$ .

- Choose a complex linear connection  $\nabla^X$  on the vector bundle  $TX$  equipped with  $J$ .
- Choose a  $\mathcal{G}$ -equivariant map<sup>3</sup>  $\lambda$  from the space of framed genus  $g$  curves to  $\mathcal{G}/G$ .
- Choose a sufficiently large integer  $k \gg 1$ , which will be used to achieve transversality.

<sup>3</sup>We are suppressing some ugly technicalities here; see [HS24, §3.3] for more details.

**Fact.** The open sub-stack  $\overline{\mathcal{M}}_{g,0}^*(\mathbb{P}^N, m) \subset \overline{\mathcal{M}}_{g,0}(\mathbb{P}^N, m)$ , consisting of **non-degenerate embeddings**  $\Sigma \hookrightarrow \mathbb{P}^N$  which also satisfy  $H^1(\Sigma, \mathcal{O}_{\mathbb{P}^N}(1)|_{\Sigma}) = 0$ , is in fact a smooth variety of the expected dimension. It carries a **universal family**

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\text{ev}} & \mathbb{P}^N \\ \downarrow \pi & & \\ \overline{\mathcal{M}}_{g,0}^*(\mathbb{P}^N, m) & & \end{array} \quad (2.3.1)$$

whose total space  $\mathcal{C}$  is also a smooth variety.

Any framed genus  $g$  curve  $(\Sigma, u, \mathcal{L}, F)$  gives a degree  $m$  embedding

$$\iota_F = [f_0 : \cdots : f_N] : \Sigma \hookrightarrow \mathbb{P}^N,$$

with  $\iota_F^*(\mathcal{O}_{\mathbb{P}^N}(1)) \simeq \mathcal{L}_u^{\otimes p} \otimes \mathcal{L}$ . We have  $[\iota_F : \Sigma \hookrightarrow \mathbb{P}^N] \in \overline{\mathcal{M}}_{g,0}^*(\mathbb{P}^N, m)$ .

**Definition 2.4.** We define  $(\mathcal{T}, \mathcal{E}, G, \mathfrak{s}, \psi)$  as follows.

(A) The thickening  $\mathcal{T}$  is the moduli space of tuples  $(\Sigma, u, \mathcal{L}, F, \eta, \alpha)$  where

- (i)  $(\Sigma, u, \mathcal{L}, F)$  is a framed genus  $g$  curve,
- (ii)  $\eta \in E_k(\Sigma, u, \mathcal{L}, F) := H^0(\Sigma, u^*TX \otimes \iota_F^*(T^{*0,1}\mathbb{P}^N \otimes \mathcal{O}_{\mathbb{P}^N}(k))) \otimes \overline{H^0(\mathbb{P}^N, \mathcal{O}_{\mathbb{P}^N}(k))}$ ,
- (iii)  $\alpha \in H^1(\Sigma, \mathcal{O}_{\Sigma})$ ,

and these satisfy the thickened  $\bar{\partial}$ -equation (1.3.1) and the condition  $[\mathcal{L}] = \exp(\alpha) \in \text{Pic}^0(\Sigma)$ .

Here, the holomorphic structure on  $\iota_F^*(T^{*0,1}\mathbb{P}^N)$  (resp.  $u^*TX$ ) comes from the isomorphism  $T^{*0,1}\mathbb{P}^N \simeq T\mathbb{P}^N$  induced by the Fubini–Study metric (resp. the connection  $\nabla^X$ ).

The map  $\tilde{\iota}_F$  is the pullback of  $\iota_F : \Sigma \hookrightarrow \mathbb{P}^N$  to the normalization  $\tilde{\Sigma} \rightarrow \Sigma$  and the linear map  $\langle \cdot \rangle$  is induced by the Hermitian inner product on  $\mathcal{O}_{\mathbb{P}^N}(k)$ .

We have a natural projection  $\mathcal{T} \rightarrow \overline{\mathcal{M}}_{g,0}^*(\mathbb{P}^N, m)$ , given by  $(\Sigma, u, \mathcal{L}, F, \eta, \alpha) \mapsto [\iota_F : \Sigma \hookrightarrow \mathbb{P}^N]$ .

(B) The obstruction bundle  $\mathcal{E} \rightarrow \mathcal{T}$  has fibre over  $(\Sigma, u, \mathcal{L}, F, \eta, \alpha)$  given by

$$\mathfrak{su}(N+1) \oplus E_k(\Sigma, u, \mathcal{L}, F) \oplus H^1(C, \mathcal{O}_C).$$

(C) The obstruction section  $\mathfrak{s}$  of  $\mathcal{E} \rightarrow \mathcal{T}$  is given by<sup>4</sup>

$$(\Sigma, u, \mathcal{L}, F, \eta, \alpha) \mapsto (i \log \lambda(\Sigma, u, \mathcal{L}, F), \eta, \alpha).$$

(D) The symmetry group  $G = PU(N+1)$ .

(E) The footprint map

$$\psi : \mathfrak{s}^{-1}(0)/G \rightarrow \overline{\mathcal{M}}_{g,0}(X, A; J)$$

given by forgetting  $\mathcal{L}, F$ .

This is the **global Kuranishi chart for  $\overline{\mathcal{M}}_{g,0}(X, A; J)$**  associated to  $(L_{\Omega}, p, \nabla^X, \lambda, k)$ . Uniqueness up to equivalence and cobordism are proved as in the genus 0 case.

<sup>4</sup>To define  $i \log$ , identify  $\mathcal{G}/G$  with the space of  $(N+1) \times (N+1)$  positive definite Hermitian matrices with  $\det = 1$ .

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